

FILED / RECEIVED

JAN 22 2015

EPIQ BANKRUPTCY  
SOLUTIONS, LLC

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
In re :  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : Chapter 11 Case No.  
Debtors. : 08-13555 (JMP)  
: (Jointly Administered)  
-----X

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). The Transferee named below hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the portion of the claim referenced in this evidence and notice.

BSI BANK SA, LUGANO	UBS AG
Name of Transferee	Name of Transferor
Name and address where notices to Transferee should be sent: BSI SA Attn: Andrea Ferrari Custody Administration Via Magatti 2, 6900 Lugano Email: Group.BsiCustodyAdministration@bsibank.com	Court Claim # (if known): 59233 Amount of Claim as Filed:  Amount of Claim Transferred: EUR 170'000 Isin code : XS0232364868 Note: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS.
Name and address where transferee payments should be sent: For USD :CITIBANK NY / SWIFT CITIUS33 ACCOUNT 10938561 In the name of BSI SA For EUR :DEUTSCHE BK FF / SWIFT DEUTDEFF ACCT: 1009415860 1000 In the name of BSI SA	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr.Hugo Koller +41 44 235 37 36 – <a href="mailto:hugo.koller@ubs.com">hugo.koller@ubs.com</a>

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

**BSI SA Lugano**

By: \_\_\_\_\_ Date: 20.01.2015

Transferee/Transferee's Agent

Name: \_\_\_\_\_  
Title: pp S. Mattea pp A. Ferrari

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 357.

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Treasury BV  05-02.11.2035	X50232364868	LBT BV	LBH Inc.	EUR 170'000.00 out of  EUR 1'532'000 .00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:**

**BSI SA**  
Via Magatti n. 2  
CH – 6900 Lugano  
Telephone: 41 (0) 58 808 3373  
Attention: Andrea Ferrari

( "Transferee" ) all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated January 06, 2015.

**UBS AG**  
Transferor

By: 

Name: Matthias Mohos  
Title: Associate Director

By: 

Name: Stephan Gfeller  
Title: Associate Director

ACKNOWLEDGED BY:

**BSI SA**  
Transferee

By: 

pp A. Ferrari

By: 

pp S. Mattea

Recommended weight	1 = 0.5 kg
	2 = 1 kg
	3 = 2 kg
	4 = 5 kg
	5 = 10 kg
	6 = 15 kg
	7 = 20 kg
	8 = 25 kg

**EXPRESS WORLDWIDE**  
IntraShip (7 0) / \*17-1409\*

**DOX** **DHL**

From BSI SA  
\*4191/8093111  
VIA FRANSCHINI 8  
6900 LUGANO  
CH SWITZERLAND

Origin  
**LUG**

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EPIQ SYSTEMS

To UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK  
LEHMAN BROTHERS HOLDINGS  
C/O EPIQ BANKRUPTCY SOLUTIONS  
757 THIRD AVENUE, 3RD FLOOR  
10017 NEW YORK NEW YORK  
US UNITED STATES OF AMERICA

**JFK US-ZYP-TS2**

Day Time

Ref code 2010009-93546-81749 Piece Weight: 0.5 kg Piece  
Account No 150044796 Pickup date: 2015-01-21 1/1

Content / Commerce Control Statement / RC  
document

Incoterm : DAP Customs Value : 0.00 CHF  
Imp/Exp Type : permanent IV : 0.00 CHF



**EXPRESS WORLDWIDE** **DOX** **DHL**  
Origin: **LUG**  
**10017 NEW YORK, United States**  
**JFK US-ZYP-TS2**

Account No. 150044796 Date: 0.50 / 0.50 KG 1/1

Content description:



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(2)US10017 + 42000000



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